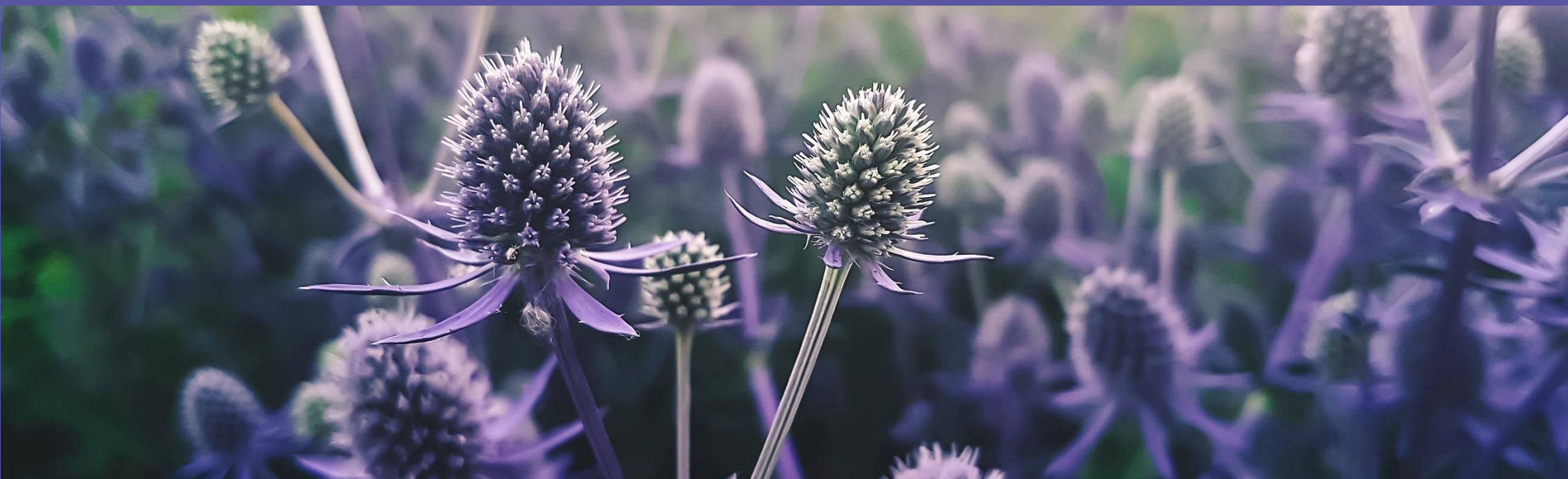


Glasgow Kelvin College

Internal Audit Progress Report

Audit & Risk Committee 6 September 2022

Issued: 30 August 2022



Internal Audit Progress Report September 2022

Progress with the annual plan for 2021/22 is shown below.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit & Risk Committee	Comments
Annual Plan 2021/22	September 2021	Draft 07/09/21 2 nd Draft 13/09/21 Final 21/09/21	2022/01	N/A	21/09/21	
Publicity and Communications	May 2022	Draft 31/03/22 Final 06/05/22	2022/03	Good	17/05/22	
Quality Assurance	February 2022	Draft 30/08/22 Final 30/08/22	2022/07	Good	06/09/22	Fieldwork was delayed.
Student Support	February 2022	Draft 11/02/22 Final 14/02/22	2022/02	Good	22/02/22	
Estates Strategy / Capital Projects	September 2022	Draft 27/07/22 2 nd Draft 11/08/22 Final 26/08/22	2022/05	Satisfactory	06/09/22	
Asset / Fleet Management	May 2022					Fieldwork deferred until w/c 19/09/22 at the request of management. This report will now be presented to the A&RC in November 2022.



Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit & Risk Committee	Comments
Performance Reporting / KPIs	May 2022	Draft 08/08/22 Final 29/08/22	2022/06	Good	06/09/22	Fieldwork was delayed.
Data Protection	May 2022	Draft 09/05/22 Final 10/05/22	2022/04	Good	17/05/22	
Follow-up reviews	September 2022	Draft 30/08/22 Final 30/08/22	2022/08	N/A see comments	06/09/22	9 Fully implemented 9 Partially implemented 18 in total
Credits audit	November 2022					Fieldwork planned for w/c 12/09/22
Bursary, childcare and hardship funds	November 2022					Fieldwork commenced 29/08/22
EMA audit	November 2022					Fieldwork commenced 29/08/22

Gradings are defined as follows:

Good	System meets control objectives.
Satisfactory	System meets control objectives with some weaknesses present.
Requires improvement	System has weaknesses that could prevent it achieving control objectives.
Unacceptable	System cannot meet control objectives.



info@hlca.co.uk
hlca.co.uk

Aberdeen 45 Queen's Road AB15 4ZN
Dundee The Vision Building, 20 Greenmarket DD1 4QB
Edinburgh Ground Floor, 11-15 Thistle Street EH2 1DF
Glasgow 100 West George Street, G2 1PP

T: 01224 322 100	F: 01224 327 911
T: 01382 200 055	F: 01382 221 240
T: 0131 226 0200	F: 0131 220 3269
T: 0141 471 9870	

Henderson Loggie LLP is a limited liability partnership registered in Scotland with registered number SO301630 and is a member of PrimeGlobal, a global association of independent accounting firms, the members of which are separate and independent legal entities. Registered office is: The Vision Building, 20 Greenmarket, Dundee, DD1 4QB. All correspondence signed by an individual is signed for and on behalf of Henderson Loggie LLP. Reference to a 'partner' is to a member of Henderson Loggie LLP. A list of members' names is available for inspection at each of these addresses.

